



U.S. Patent Application No. 09/893,633  
Reply to Notice of Non-Compliant Appeal Brief Dated 1/29/08  
February 7, 2008

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

U.S. Patent Application No.: 09/893,633

Confirmation No.: 5630

Appellant(s): PAYNE, Richard C.

For: **COMPUTER BASED SYSTEM FOR VALUING AND HEDGING  
CUSTOMIZED INDEXED CALL OPTION**

Filed: June 27, 2001

TC/Art Unit: 3692

Examiner: GRAHAM, Clement B.

Docket No.: GENP:101\_US\_

Customer No.: 24041

**Certificate of Mailing by First Class Mail**

I certify that this Brief on Appeal is being deposited on February 7, 2008 with the U.S. Postal Service as first class mail under 37 C.F.R. §1.8 and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

/C. Paul Maliszewski/

C. Paul Maliszewski  
Registration No. No. 51,990

**REPLY TO NOTICE OF NON-COMPLIANT BRIEF UNDER 37 C.F.R. § 41.37**

Mail Stop Appeal Brief – Patents  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Honorable Sir:

This reply is in response to the Notice of Non-Compliant Appeal Brief dated January 29, 2008. Appellant has attached a clean copy of the claims appendix containing the claims on appeal. Per a telephone conversation by the undersigned with Patent Appeals Specialist Reginald Tyson on February 6, 2008, Appellant has filed a copy of the claim appendix only, not the full Brief on Appeal. The Notice of Non-Compliant Appeal Brief was in response to a Brief on Appeal filed January 3, 2008. A Notice of Appeal was filed on November 13, 2007 along with payment of an Appeal fee in the amount of \$255.00.

**A Claims Appendix begins on Page 2 of this paper.**